

Hanoi, Jan 22, 2018

REPORT ON CORPORATE GOVERNANCE 2017

To: - State Securities Committee
- Ho Chi Minh City Stock Exchange

- Name of listed company: TRAPHACO JSC
- Headquarters address: 75 Yen Ninh, Ba Dinh, Hanoi
- Phone: 043.6830751 Fax: 043.6815097 Email: info@traphaco.com.vn
- Charter capital: VND 414,536,730,000
- Stock code: TRA

I. Activities of the General Meeting of Shareholders

No.	Resolution / Decision No.	Date	Content
1	28/2017/NQ-ĐHĐCĐ	30/3/2016	<ol style="list-style-type: none">1. Report of the Board of Directors in 2016 (including results of production and business activities, audited financial statements, Report of the Supervisory Board)2. Direction and tasks in 20173. The Sustainable Development Strategy for the period of 2017-20204. Profit distribution, dividend payment in 20165. The share split in 20176. The estimated allocation of profit after tax, and the remuneration of the Board of Directors and the Supervisory Board in 2017.7. Authorize the Board of Directors to select auditors8. Authorize the Board of Directors to sign the Contract with Traphaco CNC

II. Activities of the Board of Directors (2017)

1. Information about the members of the Board of Directors (BoD):

No	Board Member	Position	Day becoming member of the BoD	Number of attendance	Percentage	Reasons for absence
1.	Vu Thi Thuan	Chairwoman	3/30/2016	10/10	100%	
2.	Mr. Nguyen Anh Tuan	Vice Chairman	3/30/2016	09/10	90%	Authorizing Mr. Hien
3.	Mr. Nguyen Hong Hien	Board Member	3/30/2016	07/10	70%	Authorizing Mr.

						Tuan
4.	Mr. Tran Tuc Ma	Board Member	3/30/2016	10/10	100%	
5.	Mr. Chad Ryan Ovel	Board Member	3/30/2016	09/10	90%	Authorizing Mr. Chris Freund
6.	Mr. Christopher E. Freund	Board Member	3/30/2016	06/10	60%	Authorizing Mr. Chad Ovel
7.	Mr. Marcus John Pitt	Board Member	3/30/2016	10/10	100%	

2. Supervision by the BoD over the Executive Board

- Supervise the implementation of business and production plans of the Company.
- Direct the Executive Board to hold the Annual General Meeting of Shareholders in 2017.
- Direct, supervise and assist the CEO and other managers in implementing the Resolutions of the General Meeting of Shareholders; Resolutions and decisions of the BoD (details are listed in Section 4.).
- Disclose information and submit reports according to the current regulations of the State management agencies.

3. Activities of the Board of Directors' subcommittees:

3.1 Development Policy Subcommittee:

- Complete the Sustainable Development Strategy for the period of 2017-2020.
- Propose (plans) to issue bonus shares to existing shareholders,
- Advise on the Financial Plan for 2017: investments in fixed assets, capital construction, R&D, marketing...
- Review and report major contracts of the Company to the Board of Directors, General Assembly of Shareholders,
- Evaluate the business results according to the short-term and medium-term strategic objectives,
- Establishment of branches in Thai Nguyen, Yen Bai, Thua Thien Hue, Kien Giang,
- Advise on the Set of Criteria for the selection of partners and products for business cooperation,
- Evaluate the company's risk report and risk mitigation plan,
- Advise on the Plan for Hoang Liet plant after transformation.

3.2 Human Resources & Compensation Subcommittee

- Complete and submit 03 regulations for approval: transactions with related parties, appointment/dismissal of managerial personnels, representatives of capital in subsidiaries,
- Advise on the Regulation of salary & wage based on the result of the remuneration consultancy,
- Nominate the capital representatives and other personnel (supervisors, chief accountants) at subsidiaries,
- Plan managers directly under the Board appointment/recruitment for this term,

3.3 Auditing Subcommittee

- Assess the audited financial statements in 2016,
- Assess the audited, semi-annual financial statements in 2017,
- Advise on the Regulation on financial management.

4. Resolutions/Decisions of the Board of Directors (2017)

No	Resolution / Decision No.	Date	Content
1.	01/QĐ	2/7/2017	Appoint Deputy CEO Nguyen Van Bui
2.	02/QĐ	1/19/2017	Regulation on Traphaco JSC's Representatives of capital in enterprises
3.	03/QĐ	1/19/2017	On appointment, reappointment and dismissal of managerial officers in Traphaco JSC
4.	04/QĐ	1/19/2017	The Regulation on Management of Contracts and Transactions between Traphaco JSC and Related Parties,
5.	05/QĐ	1/19/2017	Appoint Mr. Dinh Trung Kien to be Representative of capital of Traphaco at Daklak Pharmaceuticals and Medical Supplies JSC
6.	06/QĐ	1/19/2017	Appoint Mr.Thai Duc Luan to be Representative of capital of Traphaco at Quang Tri Pharmaceuticals and Medical Supplies JSC
7.	07/QĐ	1/19/2017	Appoint Mr. Nguyen Huy Van to be Representative of capital of Traphaco at Traphaco Sapa
8.	08/QĐ	1/19/2017	Approve the nomination of Ms. Dao Thi Hong Anh as Chief Accountant at Traphaco Hung Yen
9.	09/QĐ	1/19/2017	Elect Ms. Dinh Thi Xuan Hong to be Comptroller of Traphaco Hung Yen
10.	11/QĐ	2/7/2017	Dismiss Mrs. Hoang Thi Ruoc from Deputy CEO
11.	12/QĐ	2/8/2017	Raise the performance-based rate of salary for Deputy CEO Nguyen Thi Lan
12.	13/QĐ	2/17/2017	Appoint Mr. Nguyen Van Nhuong to be Representative of capital of Traphaco at Traphaco CNC

13.	14/QĐ	3/8/2017	Approve the purchase of Innova TGT car
14.	15/QĐ	3/13/2017	Approve the implementation of the Digital Marketing Project for Cebraton
15.	28/NQ	3/30/2017	Resolution of the 2017 AGM
16.	29/QĐ	4/11/2017	Approve the implementation of the plan to issue shares to increase equity from owners' equity
17.	30/QĐ	4/13/2017	Send officials on a business trip to Japan, May 22-26, 2017,
18.	31/QĐ	5/8/2017	Send Mr. Thai Duc Luan - Director of Nghe An branch to work in Thailand, June 12-16, 2017
19.	32/QĐ	5/10/2017	Establish Thai Nguyen branch of Traphaco JSC
20.	33/QĐ	5/10/2017	Appoint Dr. Vuong Van Tuyen to be Director of Traphaco's branch in Thai Nguyen
21.	34/QĐ	5/10/2017	Select Ernst & Young as the auditor for 2017 financial statements.
22.	35/QĐ	5/10/2017	Approve the contract signing with Traphaco CNC
23.	37/QĐ	5/19/2017	Send officials to visit and study in Myanmar, June 14-17, 2017
24.	38/QĐ	5/19/2017	Send officials to visit and study in Malaysia - Singapore, June 4-9, 2017
25.	39/QĐ	5/24/2017	Approve the result of the share issuance to increase equity from owners' equity
26.	40/QĐ	6/5/2017	Change ranks and adjust the salary coefficient by job description or title (Ti) for managerial personnels
27.	41/QĐ	6/5/2017	Adjust the salary coefficient of performance (hi) for managers
28.	43/QĐ	7/27/2017	Adjust the total investment capital for the project "Plant for producing, processing, and trading pharmaceutical materials, pharmaceuticals and functional foods" of Traphacosapa one-member Ltd Company
29.	44/QĐ	7/27/2017	Raise the charter capital of Traphaco JSC at Traphacosapa one-member Ltd Company
30.	45/QĐ	7/31/2017	Buy house as branch office of Traphaco JSC in Binh Thuan
31.	46/QĐ	7/31/2017	Buy house as branch office of Traphaco JSC in Kien Giang
32.	47/QĐ	7/31/2017	Buy land to build a branch of Traphaco JSC in Hung Yen
33.	48/QĐ	7/31/2017	Rebuild the warehouse of Traphaco branch office in the Central
34.	49/QĐ	7/31/2017	Approve the purchase of an Innova car
35.	50/QĐ	8/16/2017	Appoint the director of Binh Thuan branch of Traphaco JSC
36.	51/QĐ	9/7/2017	Establish Yen Bai branch of Traphaco JSC

37.	52/QĐ	9/7/2017	Establish Thua Thien Hue branch of Traphaco JSC
38.	53/QĐ	9/7/2017	Buy house as branch office of Traphaco JSC in Kien Giang (in replacement of Decision 46)
39.	54/QĐ	9/7/2017	Establish Kien Giang branch of Traphaco JSC
40.	56/QĐ	02/11/2017	Assign the Plan of 2018
41.	57/QĐ	02/11/2017	Assign the marketing fund in 2018
42.	58/QĐ	02/11/2017	Assign the 2018 unit price plan
43.	59/QĐ	15/11/2017	Send officials on a business trip in India, 02-08/12/2017
44.	60/QĐ	24/11/2017	Establish the Quality Management Division
45.	61/QĐ	30/11/2017	Send officials on a business trip in New Zealand, 14-23/01/2018
46.	62/QĐ	05/12/2017	Advance payment of dividend in 2017, 1st time
47.	63/QĐ	11/12/2017	Divest from Quang Tri Pharmaceutical and Medical Equipments JSC
48.	64/QĐ	14/12/2017	Send officials on a business trip in Europe
49.	65/QĐ	27/12/2017	Promulgate the Regulation on Salary payment of Traphaco JSC
50.	66/QĐ	29/12/2017	Promulgate the Regulation on Financial Management of Traphaco JSC
51.	67/QĐ	29/12/2017	Approve the selection of advertising agency in 2018

III. Supervisory Board (2017)

1. Information about members of Supervisory Board

No.	Member	Position	Day becoming member of the Supervisory Board	Number of attendance	Percentage	Reasons for absence
1.	Mr. Duong Duc Hung	Chairman	3/30/2016	04/04	100%	
2.	Ms. Do Thi Khanh Van	Member	3/30/2016	04/04	100%	
3.	Ms. Nguyen Thanh Hoa	Member	3/30/2016	04/04	100%	

2. Surveillance activities of the Supervisory Board toward the Board of Directors, Board of Management, and shareholders

- Attend all the BoD meetings and provide opinions on issues that fall within the mandate of the BoS.
- Supervise the implementation of business and production plans of the Company.

- Supervise the Board of Directors, Executive Board in implementing the Resolution of the AGM; Resolutions and Decisions of the Board. Review contracts/transactions with related persons, and examine the Company's investment projects (procedures of additional documentation),
 - Supervise the disclosure of information and submit reports in accordance with the current regulations of the State management agencies.
3. **The coordination among the Supervisory Board with the Board of Directors, Board of Management, and different managers:** Operate under the Regulation on the organization and operation of the BoS and other relevant regulations.
4. **Other activities of the Supervisory Board**
- Evaluate and propose the independent auditor (Big4) to review the financial statements for 2017,
 - Make proposals on hiring independent auditors for the new plant project,
 - Review the results of tax inspection,
 - Exchanges with the auditor, Board of Directors about the results of the audited semi-annual financial statements in 2017,
 - Review contracts with related parties,
 - Update legal documents related to corporate governance.

IV. Training on corporate governance

Members of the Board of Directors, Supervisory Board members, the CEO, other managers and Secretary of the Company attended the corporate governance training in accordance with corporate governance regulations (February 2017) .

V. List of affiliated persons of the public company as specified in clause 34, Article 6 of the Securities Law (annual report) and transactions of affiliated persons of the Company with the Company

1. List of affiliated persons of the Company: Add related person to the list 01 new Deputy CEO in replacement of 01 retired Deputy CEO. See *Appendix 01* for details.
2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal persons and related person of internal person:

No.	Name of organization/ individual	Relationship	Investor No.*, date of issue, place of issue	Address	Time of transactions	Number of resolutions / decisions of the	Number, ownership proportion of	Note
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						AGM / BOM adopted	shares/fund certificates hold after the transaction	
1.	Vietnam Azalea Fund Limited	major shareholder 10.361.385 shares, ratio 24,997%	CD-185851, Business Registration Agency, Cayman Islands issued on 18/4/2007	P.O. Box 1984, Boundary Hall, Cricket Square, Grand Cayman, KY1-1104, Cayman Islands	06/11/2017		0 share, ratio 0,00%	divest
2.	VietNam Holding Ltd.	major shareholder 4.323.896 shares, ratio 10,43%	CS1077 VSD issued on 29/5/2006	Floor 8, Nam Á Bank Tower, ward 4, district 3, HỒ Chí Minh city	06/11/2017		0 share, ratio 0,00%	divest
3.	Magbi Fund Limited		CB2132 VSD issued on 29/8/2017	Unit 810, 8/F Star Hse 3 Salisbury Rd Tst Kln Hongkong	06/11/2017		10.361.385 shares, ratio 24,99%	
4.	Super Delta Pte. Ltd		CB2320 VSD issuec on 18/9/2017	10 Anson Road #23- 14P, International Plaza, Singapore (079903)	06/11/2017		6.267.289 shares, ratio 15,12%	

3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power: None.

4. Transactions between the company and other objects

4.1. Transactions between the company and the company that members of Board of Director, members of the Supervisory Board, CEO has been a founding member or members of Board of Director, CEO in three (03) years (calculated at the time of reporting):

In 2017, the following transactions were carried out with related parties:

Selling of goods

	The 12-month period ends on 31/12/2017	The 12-month period ends on 31/12/2016
	VNĐ	VNĐ
- Traphaco CNC Mr. Tran Tuc Ma – Board member, CEO of Traphaco cum Board member of Traphaco CNC	19.136.250.940	22.974.562.440
- Traphaco Hung Yên Mr. Tran Tuc Ma – Board member, CEO of Traphaco cum Chairman of Traphaco Hung Yên	24.126.735.687	0

Buying of goods, services

	The 12-month period ends on 31/12/2017	The 12-month period ends on 31/12/2016
	VNĐ	VNĐ
- Traphaco CNC Mr. Tran Tuc Ma – Board member, CEO of Traphaco cum Board member of Traphaco CNC	321.002.118.656	324.648.878.093
- Traphaco Hung Yên Mr. Tran Tuc Ma – Board member, CEO of Traphaco cum Chairman of Traphaco Hung Yên	42.966.009.010	0

Selling of assets

	The 12-month period ends on 31/12/2017	The 12-month period ends on 31/12/2016
	VNĐ	VNĐ
- Traphaco Hung Yên Mr. Tran Tuc Ma – Board member, CEO of Traphaco cum Chairman of Traphaco Hung Yên	228.279.643.586	0

4.2. Transactions between the company and the company that related person of members of Board of Director, members of the Supervisory Board, CEO as a member of Board of Director, CEO: None

4.3. Other transactions of the company (if any) may be beneficial material or immaterial for members of Board of Director, members of the Supervisory Board, CEO: None

VI. Transactions of internal persons and related person of internal person (2017)

1. List of internal persons and their affiliated persons: Details are in *Appendix 02* attached.
2. Transactions of internal persons and affiliated persons on shares of the listed company:

No.	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increase, decrease (purchase, sale, switch, reward...)
			Number of shares	Percentage	Number of shares	Percentage	
1	Vũ Thị Thuận	BoD Chairwoman	581,084	1.40%	464,644	1.12%	Stock dividend to increase charter capital in June 2017 and sell 116,440 shares in 26/7-24/8/2017
2	Nguyễn Thị Bảo Vân	Daughter of BoD Chairwoman	73,130	0.18%	59,800	0.14%	Stock dividend to increase charter capital in June 2017 and sell 13,330 shares in 25/7-23/8/2017
3	Trần Túc Mã	CEO	1,276,648	3.08%	772,308	1.86%	Stock dividend to increase charter capital in June 2017 and sell 67,000 shares in từ 25/7-23/8/2017 (session 1), 207,340 shares in 30/8-27/9/2017 (session 2), 230,000 shares in 03/10-01/11/2017 (session 3)
4	Nguyễn Thị Lan	Deputy CEO	103,460	0.25%	73,460	0.18%	Stock dividend to increase charter capital in June 2017 and sell 30,000 shares in 08/8-06/9/2017
5	Nguyễn Thị	Wife of	134,760	0.33%	100,560	0.24%	Stock dividend to increase

	Hoan	Deputy CEO Nguyễn Văn Bùi					charter capital in June 2017 and sell 34,200 shares in 11/8-09/9/2017
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VII. Other significant issues: None./. 

Recipients:

- as above;
- Archive: Admin, BoD.

Chairwoman

(signed)

MSc. Vu Thi Thuan

APPENDIX 01
LIST OF AFFILIATED PERSONS OF THE COMPANY

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/Pass port No., date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
1.	Ms. Vũ Thị Thuận		Chairwoman			25/03/2011		
2.	Mr. Trần Túc Mã		CEO, BoD member			25/03/2011		
3.	Mr. Nguyễn Anh Tuấn		Vice Chairman			30/03/2016		
4.	Mr. Nguyễn Hồng Hiến		BoD member			30/03/2016		
5.	Mr. Chad Ryan Ovel		BoD member			30/03/2016		
6.	Mr. Christopher E. Freund		BoD member			30/03/2016		
7.	Mr. Marcus John Pitt		BoD member			30/03/2016		
8.	Mr. Dương Đức Hùng		BoS Chairman			30/03/2016		
9.	Ms. Đỗ Thị Khánh Vân		BoS member			25/03/2011		
10.	Ms Nguyễn Thanh Hoa		BoS member			30/03/2016		
11.	Mr. Nguyễn Huy Văn		Deputy CEO			25/03/2011		

12.	Ms. Nguyễn Thị Lan		Deputy CEO			08/09/2014		
13.	Mr. Nguyễn Văn Bùi		Deputy CEO			07/2/2017		Newly appointed
14.	Mr. Đinh Trung Kiên		Chief Accountant			25/03/2011		
15.	Traphaco CNC					2012		
16.	TraphacoSapa					2013		
17.	Traphaco Hung Yên					2015		
18.	Daklak Pharmaceuticals & Medical Materials JSC					2011		
	Quang Tri Pharmaceuticals & Medical Materials JSC					2014	12/2017	Full divestment
	Ms. Hoàng Thị Rược		Deputy CEO			25/3/2011	07/2/2017	Retired

APPENDIX 02
LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/Pass port No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Vũ Thị Thuận		Chairwoman					
1.1	Nguyễn Thị Bảo Vân							Daughter
2	Trần Túc Mã		CEO, BoD member					
2.1	Đào Thị Vui							Wife
2.2	Trần Minh Nguyệt							Daughter
2.3	Trần Diệu Linh							Daughter
3	Nguyễn Huy Văn		Deputy CEO					
3.1	Nguyễn Thị Vinh Huê							Wife
3.2	Nguyễn Thị Thúy							Sister
4	Nguyễn Thị Lan		Deputy CEO					
4.1	Vũ Văn Ân							Husband
4.2	Lê Thị Đăng							Mother
4.3	Vũ Quang Minh							Son
4.4	Vũ Thị Hà Phương							Daughter
4.5	Nguyễn Thị Tuyết							Sister
4.6	Nguyễn Văn Chung							Brother
4.7	Nguyễn Văn Hiếu							Brother
5	Nguyễn Văn Bùi		Deputy CEO					
5.1	Dương Thị Ngọc							Mother
5.2	Nguyễn Thị Hoan							Wife

5.3	Nguyễn Đức Hiệp							Son
5.4	Nguyễn Minh Đức							Son
5.5	Nguyễn Hồng Phúc							Son
5.6	Nguyễn Thị Ngọt							Sister
6	Đinh Trung Kiên		Chief Accountant					
6.1	Đinh Doanh Ty							Father
6.2	Trịnh Thị Tiên							Mother
6.3	Đinh Thị Ánh Tuyết							Sister
6.4	Đinh Thị Hồng Nga							Sister
6.5	Phạm Thị Thường							Wife
6.6	Đinh Anh Thư							Daughter
6.7	Đinh Thùy Linh							Daughter
7	Đào Thúy Hà		Person authorized to disclose information					
7.1	Đào Trọng Tài							Father
7.2	Bùi Thị Nhuận							Mother
7.3	Đào Thị Hồng Anh							Sister
7.4	Phạm Hà Minh Khuê							Daughter
7.5	Phạm Minh Châu							Daughter
7.6	Sao Mai Pharmaceuticals Company							
8	Nguyễn Anh Tuấn		Vice Chairman					
8.1	Huỳnh Thị Trúc Đào							Wife
8.2	Nguyễn Thành Nam							Son
8.3	Nguyễn Tuấn Đức							Son
8.4	Nguyễn Thu Hương							Sister

8.5	Nguyễn Đức Thắng							Brother
9	Nguyễn Hồng Hiến		BoD member					
9.1	Nguyễn Hồng Vinh							Father
9.2	Trần Thị Nhung							Mother
9.3	Lê Thùy Trang							Wife
9.4	Nguyễn Thị Thu Hà							Sister
9.5	Nguyễn Khôi Minh							Son
9.6	Nguyễn Lê Minh An							Daughter
10	Chad Ryan Ovel		BoD member					
10.1	Trần Minh Ngọc Thu							Wife
10.2	Vienna Mai Ovel							Daughter
10.3	Isabella An Ovel							Daughter
10.4	Steve James Ovel							Father
10.5	Susan Jane Ovel							Mother
11	Christopher E. Freund		BoD member					
11.1	Truong Ngoc Phung							Wife

11.2	Aurora Phoenix Freund Truong							Daughter
11.3	Gaia Phoenix Freund Truong							Daughter
11.4	Penny Freund							Mother
11.5	John Freund							Father
11.6	Vietnam Azalea Fund Limited							
12	Marcus John Pitt		BoD member					
12.1	Fifi Rahayu Pitt							Wife
12.2	Kay Pitt							Mother
12.3	Rodney Pitt							Father
13	Dương Đức Hùng		BoS Chairman					
13.1	Dương Đức Hạnh							Father
13.2	Phạm Thị Cần							Mother
13.3	Hà Mỹ Hòa							Wife
13.4	Dương Bảo Nam							Son
13.5	Dương Đức Minh							Brother
14	Nguyễn Thanh Hoa		BoS member					
14.1	Nguyễn Ngọc Chí							Father
14.2	Lưu Thúy Hoàn							Mother
14.3	Nguyễn Thanh Tùng							Brother
14.4	Nguyễn Xuân Việt Anh							Husband
14.5	Nguyễn Anh Khôi							Son

14.6	Nguyễn Xuân Khôi							Son
15	Đỗ Thị Khánh Vân		BoS member					
15.1	Bùi Anh Tuấn							Husband
15.	Đỗ Thi							Father
15.3	Bùi Thị Khánh Hòa							Mother
15.4	Đỗ Tuấn Vinh							Brother
15.5	Bùi Nam Anh							Son
15.6	Bùi Khánh An							Daughter

APPENDIX 03

TRANSACTIONS OF INTERNAL PERSONS AND AFFILIATED PERSONS ON SHARES OF THE COMPANY

No.	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period	Percent age	Number of shares owned at the end of the period	Percent age	Reasons for increase, decrease (purchase, sale, switch, reward...)
1	Vũ Thị Thuận	Chairwoman	581,084	1.40%	464,644	1.12%	Stock dividend to increase the charter capital in June 2017 and sell 116,440 shares from 26/7-24/8/2017
2	Nguyễn Thị Bảo Vân	Daughter of Chairwoman	73,130	0.18%	59,800	0.14%	Stock dividend to increase the charter capital in June 2017 and sell 13,330 shares from 25/7-23/8/2017
3	Trần Túc Mã	CEO	1,276,648	3.08%	772,308	1.86%	Stock dividend to increase the charter capital in June 2017 and sell 67,000 shares from 25/7-23/8/2017 (session 1), 207,340 shares from 30/8-27/9/2017 (session 2), 230,000 shares from 03/10-01/11/2017 (session 3)
4	Nguyễn Thị Lan	Deputy CEO	103,460	0.25%	73,460	0.18%	Stock dividend to increase the charter capital in June 2017 and sell 30,000 shares from 08/8-06/9/2017
5	Nguyễn Thị Hoan	Wife of Deputy CEO Nguyễn Văn	134,760	0.33%	100,560	0.24%	Stock dividend to increase the charter capital in June 2017 and sell 34,200 shares

		Bùi					from 11/8-09/9/2017
6	Vietnam Azalea Fund Limited	BoD member Christopher E. Freund, representative of capital	10,361,385	24.997%	0	0%	Full divestment